

2022

FINANCIAL MARKETS MANAGEMENT

Marks: 70

Time: 3 hours

General instructions:

- i) *Approximately 15 minutes is allotted to read the question paper and revise the answers.*
- ii) *The question paper consists of 24 questions. All questions are compulsory.*
- iii) *Marks are indicated against each question.*
- iv) *Internal choice has been provided in some questions.*

N.B: *Check to ensure that all pages of the question paper is complete as indicated on the top left side.*

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| 1. What is investment? | 1 |
| 2. Write the full form of ISIN. | 1 |
| 3. What is simple interest in financial statement analysis? | 1 |
| 4. Mention the two types of expenses incurred by a scheme. | 1 |
| 5. What does CRISIL's rating symbol AAA signify? | 1 |
| 6. What is FMPs? | 1 |
| 7. What is the holding period for long term capital under mutual fund schemes? | 1 |
| 8. Define equity schemes. | 1 |
| 9. Why do companies need to issue shares to the public? | 2 |
| 10. Write two main sources to raise foreign currency in Indian Companies. | 2 |
| 11. State any two differences between equity shareholder and preference shareholder. | 2 |
| 12. What are gross block and net block? | 1+1=2 |
| 13. Write any two objectives of AMFI. | 2 |
| 14. What is SEBI? Explain the roles of SEBI. | 1+3=4 |

15. Explain the different kinds of issue of shares. 1+3=4
16. What are current ratio and acid test ratio? 4
17. State the requirements for a sponsor to have sound track record. 4
18. Explain the role of AMC. 4
19. State any four points on Sovereign Gold Bonds. 1+3=4
20. Explain the market makings by APs. 4
21. a. Who is a depository participant? Write ten benefits of participation in a depository. 1+5=6
- Or**
- b. Write any six Do's and six Don'ts an investor should bear in mind while investing in the stock markets. (6)
22. a. Write six points one should look for in a profit and loss account. 6
- Or**
- b. What is earning per share (EPS)? Write the profitability ratios with their formulae. (1+5=6)
23. a. What is meant by KIM? State any ten contents of KIM. (1+5=6)
- Or**
- b. What is portfolio turnover? How does AUM affect portfolio turnover?
24. a. Explain any six debt mutual fund schemes. 6
- Or**
- b. Explain the valuation of securities.
